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| APPLICATION NO.                | FI   | LING DATE  | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|--------------------------------|------|------------|----------------------|-------------------------|------------------|
| 09/695,795                     | 1    | 10/23/2000 | Jeffrey D. Rothstein | JHU1650-2               | 3376             |
|                                | 7590 | 11/18/2002 |                      |                         |                  |
| Lisa A Haile Ph D              |      |            | EXAMINER             |                         |                  |
| Gray Cary Wa<br>4365 Executive |      |            |                      | WEGERT, S               | ANDRA L          |
| San Diego, CA 92121            |      |            |                      | ART UNIT                | PAPER NUMBER     |
|                                |      |            |                      | 1647                    | - X              |
|                                |      |            |                      | DATE MAILED: 11/18/2002 | U                |

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Washington, D.C. 20231

| APPLICATION NO./ CONTROL NO. | FILING DATE | FIRST NAMED INVENTOR / PATENT IN REEXAMINATION | ATTORNEY DOCKET NO. |
|------------------------------|-------------|--|---------------------|
| CONTROL NO.                  |             | PATENT IN REEARMINATION                        |                     |

EXAMINER

**ART UNIT** 

**PAPER** 

8

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**Commissioner of Patents and Trademarks** 

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reasons set forth on the attached: Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821-1.825) before the application can be examined under 35 USC 131 and 132. Applicant is given ONE EXTENDIBLE MONTH, or THIRTY DAYS, whichever is longer, from the mailing date of this letter within which to comply with the sequence rules, 37 CFR 1.821 – 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). In no case may an applicant extend the period for reply beyond the SIX MONTH statutory period. Direct the reply to the undersigned. Applicant is requested to return a copy of the attached CRF Diskette Problem Report with the reply.

## Advisory information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sandra Wegert whose telephone number is (703) 308-9346. The examiner can normally be reached Monday - Friday from 9:00 AM to 5:00 PM (Eastern time). If attempts to reach the examiner by telephone are unsuccessful, the Examiner's supervisor, Gary Kunz, can be reached at (703) 308-4623.

Official papers filed by fax should be directed to (703) 308-4242. Faxed draft or informal communications with the examiner should be directed to (703) 308-0294.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0196.

SLW

Nov. 14, 2002

CAPERMSORY PATENT EXAMINER

THE PHINOLOGY CENTER 1000

PTO-90C (Rev.3-98)

Application No.: <u>09/695,795</u>

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

| X   | <ol> <li>This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's<br/>attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR<br/>18230, May 1, 1990.</li> </ol>                                     |
|-----|---|
|     | 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).   |
|     | 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).   |
|     | 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." |
|     | 5. The computer readable form that has been filed with this application has been found to be damaged<br>and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute<br>computer readable form must be submitted as required by 37 C.F.R. 1.825(d).         |
|     | 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).  |
| X   | 7. Other:_Sequences in the specification must contain a SEQ ID NO. Please amend figures 14 A, B, C 15; 16 A, B; 17; 18; 19; 20 A, B; 21 and 22 to include the appropriate SEQ ID NO's.  |
| Аp  | plicant Must Provide:   |
|     | An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".   |
|     | An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.  |
|     | A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).  |
| For | questions regarding compliance to these requirements, please contact:   |
| For | Rules Interpretation, call (703) 308-4216 CRF Submission Help, call (703) 308-4212 Retently software help, call (703) 308-6856  |

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